



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kurita HAJIME, et al.

Group Art Unit: TBA

Serial No.: 10/570,482

Examiner: TBA

International (PCT)

Application No.: PCT/JP 2004/011373

Filing Date: March 1, 2006

For: VARIABLE DISPLACEMENT SWASH PLATE TYPE COMPRESSOR

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Response to Notification of Missing Requirements (1 page, and duplicate);
2. Copy of Notification of Missing Requirements (2 pages);
3. Executed Declaration and Power of Attorney (5 pages);
4. Assignment Recordation Form Cover Sheet (1 page, and duplicate);
5. Executed Assignment Document (1 page);
6. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

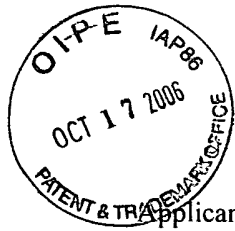
Dated: October 12, 2006

By: Steven F. Meyer

Steven F. Meyer  
Registration No. 35,613

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**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS dated September 19, 2006, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notification of Missing Requirements (2 pages);
- ☒ Executed Declaration and Power of Attorney (5 pages);
- ☒ Assignment Recordation Form Cover Sheet (1 page, and duplicate);
- ☒ Executed Assignment Document (1 page);
- ☒ Please charge the required fee of \$130.00 to deposit account no. 13-4500, Order No. 5000-5303.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5303. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: October 12, 2006

By: Steven F. Meyer

Steven F. Meyer  
Reg. No. 35,613

Correspondence Address:  
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01 FC:1617 130.00 DA



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/570,482	FIRST NAMED APPLICANT Hajime Kurita	ATTY. DOCKET NO. 50005303
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27123  
MORGAN & FINNEGAN, L.L.P.  
3 WORLD FINANCIAL CENTER  
NEW YORK, NY 10281-2101

INTERNATIONAL APPLICATION NO. PCT/JP04/11373	
I.A. FILING DATE 08/06/2004	PRIORITY DATE 09/02/2003

Case 5000-5303 Atty SFM  
Due Date 11-19-06  
Statute, Date 4-19-07  
By AR

CONFIRMATION NO. 2786

371 FORMALITIES LETTER



\*OC000000020478052\*

Date Mailed: 09/19/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/01/2006
- English Translation of the IA filed on 03/01/2006
- Copy of the International Search Report filed on 03/01/2006
- Preliminary Amendments filed on 03/01/2006
- Information Disclosure Statements filed on 03/01/2006
- Request for Immediate Examination filed on 03/01/2006
- U.S. Basic National Fees filed on 03/01/2006
- Priority Documents filed on 03/01/2006
- Specification filed on 03/01/2006
- Claims filed on 03/01/2006
- Abstracts filed on 03/01/2006
- Drawings filed on 03/01/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**DOCKET DEPARTMENT**

Docketed By: AR Date: 9/28/06  
Audited By: AR Date: 10/3/06  
Filed By: AR Date: 10/3/06

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/570,482	PCT/JP04/11373	50005303